

ATTORNEY DOCKET NO.: 0492479-0033 (MGH 2231)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Xudong HUANG	Examiner:	NYA
Serial No.:	10/762,965	Art Unit:	NYA
Filing Date:	January 22, 2004		
Title:	Amyloid-Binding, Metal-Chelating Agents		

Commissioner for Patents
Mail Stop Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing	
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450.	
<u>March 7, 2005</u> Date	<u>Archives</u> Signature
<u>Beatrice M. Aveline, Ph.D.</u> Typed or Printed Name of person signing certificate	

Sir:

TRANSMITTAL LETTER

Enclosed are the following documents:

1. Form PTO-1449 (1 page);
2. Information Disclosure Statement (6 pages);
3. Cited Art (1); and
4. Return Postcard.

If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721

Respectfully submitted,

Dated: 3/4/2005

CHOATE, HALL & STEWART LLP
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
(617) 248-4000

Brenda Herschbach Jarrell, Ph.D.
Registration No.: 39,223

FORM PTO-1449		U.S. Department of Commerce		Atty. Docket: 0492479-0033		In Re Application No.: 10/762,965	
(REV. 8-83)		Patent and Trademark Office		Applicant: Xudong HUANG			
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Filing Date: January 22, 2004		Group:	
U.S. PATENT DOCUMENTS							
Examiner's Initials	U.S. Patent No.	Applicant	Issue Date	Class	Subclass		
U.S. PATENT APPLICATIONS							
Examiner's Initials:	Serial Number:	Applicant:	Publication Date:	Group:	Art Unit:		
FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document No.	Country	Date	Translation			
				Yes	No		
OTHER DOCUMENTS							
Examiner's Initials	Citation (Including Author, Title, Date, Pertinent Pages, Etc.)						
	A. Dedeoglu et al., "Preliminary Studies of Novel Functional Metal Chelator Targeting Alzheimer's amyloidogenesis", Exp. Gerontol., 2004, 39: 1641-1649						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



ATTORNEY DOCKET NO.: 0492479-0033 (MGH 2231)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Xudong HUANG	Examiner:	NYA
Serial No.:	10/762,965	Art Unit:	NYA
Filing Date:	January 22, 2004		
Title:	Amyloid-Binding, Metal-Chelating Agents		

Commissioner for Patents
Mail Stop Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing	
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313 1450.	
<u>March 7, 2005</u> Date	<u>Aveline</u> Signature
<u>Beatrice M. Aveline, Ph.D.</u> Typed or Printed Name of person signing certificate	

Sir:

STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- [] An *original* Information Disclosure Statement; or
- [X] A *supplemental* Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- ☒ Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - ☒ Before the mailing of a first Office action on the merits; or
 - ☐ Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☐ Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *either*:
- ☐ Certifies that *either*:
 - ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign

patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.; or

☐ Includes herewith the fee set forth in § 1.17(p).

☐ Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:

☐ Certifies that *either*:

☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual

designated in § 1.56(c) more than three months prior to the filing of
the information disclosure statement.; and

☐ Includes herewith the fee set forth in § 1.17(p).

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s)
listed on the attached form PTO-1449 (modified). The order of presentation of the references
should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

☒ A copy of each cited reference not indicated with an asterisk is included;

☐ Copies of references indicated with an asterisk on the attached form PTO-1449
are not included pursuant to 37 CFR § 1.98(d) because they were previously
provided to the United States Patent Office in an Information Disclosure
Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the
following patent application that is relied upon in the present case for an earlier
effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

☐ Copies of English translations of one or more non-English references are
included.

Applicant hereby makes the following additional information of record in the above-
identified application:

Applicant certifies that the Information Disclosure Statement *either*:

- ☒ Does not contain non-English language citations;
- ☐ Does contain non-English language citations, for which an English language abstract is submitted.
- ☐ Does contain non-English language citations which were cited on an International Search Report (a copy of which is enclosed herewith).
- ☐ Includes one or more translations of a non-English citation.

Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b) .

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.


It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted,

Dated: 3/4/2005


Brenda Herschbach Jarrell, Ph.D.
Registration No. 39,223

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
(617) 248-4000